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## Weakly Henselian Rings

Thomas Mckenzie\*

\*Bradley University

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## WEAKLY HENSELIAN RINGS

THOMAS MCKENZIE

**1. Introduction.**

Throughout this paper we will assume that all rings are commutative rings with identity, that ring homomorphisms preserve identities, and that a ring and its subrings have the same identity. We say that a ring is connected if it has exactly two idempotents. By a local ring we mean a (not necessarily Noetherian) ring with a unique maximal ideal. For the remainder of this paper we assume that  $R$  is a local ring with maximal ideal  $M$ .

In this paper we give a definition of a weakly Henselian ring. The main result of this paper is Theorem 1.5. In this theorem we give a characterization of weakly Henselian rings. A version of this Theorem appears as Theorem 4.15 on page 176 of [5]. But in [5] the author assumes that the residue class field of  $R$  is infinite. In this paper we have no such restriction.

**2. Weakly Henselian Rings.**

If  $S$  is a connected ring and  $f \in S[x]$  then  $f$  is said to be a separable polynomial if  $f$  is monic and there exist  $u, v \in S[x]$  such that  $uf + vf' = 1$  where  $f'$  is the formal derivative of  $f$ .

**Definition 1.1.** We say that  $R$  is a weakly Henselian ring if whenever  $f \in R[x]$  is a separable polynomial and there exist monic polynomials  $g_0, h_0 \in (R/M)[x]$  with  $\bar{f} = g_0 h_0$  then there exist monic polynomials  $g, h \in R[x]$  such that  $f = gh$ ,  $\bar{g} = g_0$ , and  $\bar{h} = h_0$ .

Note that since  $f$  is separable the polynomials  $g, h, g_0$ , and  $h_0$  in Definition 1.1 are also separable by Lemma 1.2 on page 22 of [2]. By the same lemma there exist  $u, v \in R[x]$  and  $u_0, v_0 \in (R/M)[x]$  such that  $ug + vh = 1$  and  $u_0 g_0 + v_0 h_0 = 1$ .

If  $S$  is a ring and  $f \in S[x]$  we write  $\deg(f)$  for the degree of  $f$ . If  $f$  is a monic polynomial then we say that  $f$  is indecomposable if whenever there exist monic polynomials  $g, h \in S[x]$  such that  $f = gh$  it follows that

$g = 1$  or  $h = 1$ . If  $T$  is a finite projective separable extension of  $S$  and  $P$  is a maximal ideal of  $T$  then  $Q = P \cap S$  is a maximal ideal of  $S$  since  $T$  is an integral extension of  $S$ . We call the degree of the field  $T/P$  over  $S/Q$  the inertial degree of  $P$  over  $Q$ .

The next lemma is Theorem 3.5 on page 172 of [5]. We include it for the convenience of the reader.

**Lemma 1.2.** *If  $S$  is a connected ring,  $f$  is an indecomposable separable polynomial in  $S[x]$ ,  $Q$  is a maximal ideal of  $S$ , and  $f_1, \dots, f_n$  are monic polynomials in  $S[x]$  such that  $\bar{f} = \bar{f}_1 \cdot \dots \cdot \bar{f}_n$  is the unique factorization of  $\bar{f}$  in  $S/Q$ , and  $T = S[x]/(f)$  then:*

- (i) *The maximal ideals in  $T$  which lie over  $Q$  are precisely the ideals of the form  $P_i = Q \cdot T + (f_i + (f)) \cdot T$ ;*
- (ii) *The inertial degree of  $P_i$  over  $Q$  equals the  $\deg(f_i)$ .*

If  $F \subseteq L$  is an extension of fields we let  $\deg(L : F)$  denote the degree of  $L$  over  $F$ . In order to prove the main result in this section we need the following technical lemma regarding the existence of irreducible polynomials over finite fields.

**Lemma 1.3.** *Let  $F$  be a finite field with  $q$  elements,  $F \subseteq L$  be an extension of finite fields, and  $M$  be a positive integer. There exists a monic polynomial  $g \in F[x]$  such that:*

- (i)  *$g$  is irreducible in  $L[x]$ ;*
- (ii) *there exist at least  $M$  distinct monic irreducible polynomials in  $F[x]$  of degree  $\deg(g) \cdot \deg(L : F)$ .*

*Proof :* Let  $n$  be a positive integer, and let  $N_q(n)$  denote the number of irreducible polynomials in  $F[x]$  of degree  $n$ . By Example 3.26 on page 86 of [4]

$$N_q(i) \geq (1/i)(q^i - q^{i-1} - q^{i-2} - \dots - q).$$

Thus

$$\lim_{i \rightarrow \infty} N_q(i) = \infty.$$

So we may choose a positive integer  $M_0$  such that whenever  $i > M_0$  it follows that  $N_q(i) > M$ .

Let  $j$  be positive integer such that  $j > M_0$  and  $j$  is relatively prime to  $\deg(L : F)$ . Since  $j > M_0$  there exists a monic irreducible polynomial  $g$  in

$F[x]$  of degree  $j$ . By Corollary 3.47 on page 100 of [4], the fact that  $j$  and  $\deg(L : F)$  are relatively prime implies  $g$  is irreducible over  $L$ . Also, since  $j \cdot \deg(L : F) > M_0$  it follows that  $N_q(j \cdot \deg(L : F)) > M$ . This completes the proof.

If  $S$  is a connected ring we let  $\Omega_S$  denote the separable closure of  $R$ . If  $T$  is a ring extension of  $R$  we say that  $T$  has a primitive element over  $S$  if there exists  $\alpha \in T$  such that  $T = R[\alpha]$

**Lemma 1.4.** *Assume that  $R/M$  is a finite field and  $\Omega_R$  is not a local ring. Then there exists a separable indecomposable polynomial  $f \in R[x]$  such that  $\bar{f}$  is not irreducible in  $(R/M)[x]$ .*

*Proof :* Since  $\Omega_R$  is not local there exists a finite projective connected Galois extension  $S$  of  $R$  such that  $S$  is not a local ring. Let  $Q_1, \dots, Q_n$  be the distinct maximal ideals of  $S$ . By Lemma 1.3 there exists a monic irreducible polynomial  $g_0 \in (R/M)[x]$  such that:

- (i)  $g_0$  is irreducible in  $S/Q_1$ ;
- (ii) There exist at least  $n$  distinct irreducible polynomials in  $(R/M)[x]$  of degree  $\deg(g_0) \cdot \deg(S/Q_1 : R/M)$ .

Let  $g \in R[x]$  be monic such that  $\bar{g} = g_0$  in  $(R/M)[x]$ . Note that  $g$  is separable in  $R[x]$  since  $\bar{g}$  is separable in  $(R/M)[x]$ . Also,  $g$  is indecomposable in  $S[x]$  since  $g$  is irreducible in  $(S/Q_1)[x]$ . Thus  $T = S[x]/(g)$  is a finite projective separable connected extension of  $S$ .

Since  $S$  is Galois over  $R$ , Lemma 2.2 on page 167 of [5] implies that

$$\deg(S/Q_1 : R/M) = \deg(S/Q_j : R/M), \forall j \in \{1, \dots, n\}.$$

So by Corollary 3.47 on page 100 of [4],  $\bar{g}$  is irreducible in  $(S/Q_j)[x]$  for all  $j \in \{1, \dots, n\}$ . Thus by Lemma 1.2,  $T$  has exactly one maximal ideal  $P_j$  which lies over  $Q_j$  for all  $j \in \{1, \dots, n\}$ . Further the inertial degree of  $P_j$  over  $Q_j$  equals  $\deg(g)$  for all  $j \in \{1, \dots, n\}$ . Hence

$$T/(MT) \simeq T/P_1 \times \dots \times T/P_n,$$

the inertial degree of  $Q_j$  over  $M$  equals  $\deg(g_0) \cdot \deg(S/Q_1 : R/M)$  for all  $j \in \{1, \dots, n\}$ , and there are at least  $n$  irreducible polynomials of degree  $\deg(g_0) \cdot \deg(S/Q_1 : R/M)$  in  $(R/M)[x]$ . Let  $h_1, \dots, h_n$  be  $n$  distinct polynomials in  $(R/M)[x]$  each of degree equal to the inertial degree of  $Q_1$  over

*M.* Then

$$T/P_1 \times \dots \times T/P_n \simeq (R/M)[x]/(h_1) \times \dots \times (R/M)[x]/(h_n).$$

Also,

$$(R/M)[x]/(h_1) \times \dots \times (R/M)[x]/(h_n) \simeq (R/M)[x]/(h_1 \cdot \dots \cdot h_n)$$

since  $h_1 \dots h_n$  are pairwise relatively prime. A standard argument now shows that  $T/(M \cdot T)$  has a primitive element over  $R$ . Using this fact and an application of Nakayama's Lemma one can show that  $T$  has a primitive element over  $R$ . So by Theorem 3.3 page 171 of [5] there exists an indecomposable separable polynomial  $h \in R[x]$  such that  $T \simeq R[x]/(h)$  and by Lemma 1.2  $h$  is not irreducible in  $(R/M)[x]$ . This completes the proof.

We can now prove the main result in this paper.

**Theorem 1.5.**  *$R$  is weakly Henselian if and only if  $\Omega_R$  is a local ring.*

*Proof :* If  $R/M$  is an infinite field then the theorem follows from Theorem 4.15 on page 176 of [5]. Thus we assume that  $R/M$  is finite. If  $\Omega_R$  is not local then by Lemma 1.4  $R$  is not weakly Henselian. If  $R$  is not weakly Henselian then by Lemma 1.2 there exists a finite projective separable extension of  $R$  which is not local. Thus  $\Omega_R$  is not local. This completes the proof.

**Corollary 1.6.** Henselian local rings have local separable closures.

*Proof :* This is clear.

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T. MCKENZIE  
DEPARTMENT OF MATHEMATICS  
BRADLEY UNIVERSITY  
PEORIA, ILLINOIS

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